PTO/SB/17 (07-07)
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Fees pursuant to the Consolid	ated Appro	prietions Act, 2		Application N		10/803,84			-	7
FEE TR	AN	SMIT	TAL	Filing Date		March 18	2004			HCEIVED
l Fo	rFY	2007		First Named	Inventor	Gangmin	Xiao		CENT	RAL FAX CENTER
		tua Can 27	CED 4 07	Examiner Na	ame	Rosasco,	Stephe	n D.	· A	UG 2 4 2007
Applicant claims small	entity sta	itus. See 37	CPR 1.27	Art Unit		6418				39 4 7 2007
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FEE CALCULATION		<u>.</u>		· _						
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2. EXCESS CLAIM FE Fee Description	E 5					<u>F</u>	00 (\$)	Fee (S		
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3. APPLICATION SIZE If the specification and	FEE 1 drawin	es exceed 10	00 sheets of pa	ner (excludir	ng electro	nically file	d seque	nce or co	mputer	1
listings under 37 C	FR 1.52	(e)), the app	lication size f	ce due is \$250	0 (\$125 fi	or small en	tity) for	each add	itional 50	
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Signature (5)	w.4			Registration N (Attorney/Agent	46,494			no 212-33		_
Name (Print/Type) Benjamin	M. Halpe	ern					Date Au	igust 24, 2	007	
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August 24, 2007

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Fax:

(571) 273-8300

Attn: Examiner Stephen D. Rosasco

Voice:

(571) 272-1389

Art Unit: 6418

Benjamin M. Halpern, Esq.

Re:

From:

Information Disclosure Statement

U.S. Patent Application Serial No. 10/803,847

Title: EMBEDDED ATTENUATED PHASE SHIFT MASK WITH

TUNABLE TRANSMISSION

Our File No.: 67493/121

Prepd. by:

Campbell

Return to:

Campbell

No. of pages including cover:

Information Disclosure Statement DATED August 24, 2007

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PTO/SB/21 (04-07)

AMSTER ROTHSTEIN EBENSTIE

	U.S. F	Sedant and Tradema	ev Office: III	arough 08/30/2007. OMB 0651-0031 S. DEPARTMENT OF COMMERCE			
Under the Penerwork Reduction Act of 1995. n	Application Number	10/803,847	OUT TO SELECT	SOISVS & VOIG CALL CALLS			
TRANSMITTAL	Filing Date	March 18, 2004	RECEIVED				
FORM	First Named Inventor	Guangming Xlao	CENTRAL FAX CENT				
	Art Unit	6418					
(to be used for all correspondence after initial fill	Examiner Name	Rosasco, Stophel	n D.	AUG 2 4 2007			
Total Number of Pages in This Submission 4	Attorney Docket Number	67493/121					
	ENCLOSURES (Check all	that apply)					
Fee Transmittal Form	Drawing(s)		Appeal	lowance Communication to TC Communication to Board			
Fee Attached	Licensing-related Papors		of Appeals and Interferences Appeal Communication to TC				
Amendment/Reply After Final Affldavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Potition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C Remarks ARE Information Disclosure Statement	Address	(Appeal Proprie	Notice, Brief, Reply Brief) Hary Information Letter ≦nclosure(s) (please Identify			
SIGNAT	TURE OF APPLICANT, ATTO	ORNEY, OR A	GENT				
Firm Name AMSTER, ROTHSTEIN &	EBENSTEIN LLP						
Signature Bim. De							
Printed name Benjamin M. Halpern							
Date August 24, 2007		Reg. No. 46,	194				
I hereby certify that this correspondence is be sufficient postage as first class mail ip an entitle date shown below: BY FACSIMILE - 57	velope accressed to: Commissioner	TO or deposited	with the I in	alted States Postal Service with Alexandria, VA 22313-1450 on			
Signature // // Signature							
Typed or printed name Vivian Campbell		···	Dato	August 24, 2007			

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Provisional	200	100	0	0	0		- D			
2. EXCESS CLAIM FEE Fee Description Each claim over 20 (i Each independent cla Multiple dependent cla Multiple dependent cla Multiple dependent cla Multiple dependent cla Total Claims - 20 or HP = HP = highest number of total Indep. Claims - 3 or HP = HP = Nighest number of index 3. APPLICATION SIZE I If the specification and Ilstings under 37 CI sheets or fraction th Total Sheets - 100 =	including R im over 3 (laims Extra Claim claims peid fo Extra Claim chaims feed drawings c fr R 1.52(e))	including Reissues) x x x, if greater than 20. ns Fee (\$) x = paid for, if greater than 3 exceed 100 sheets of the application size 35 U.S.C. 41(a)(1)(Est Est (pa	e due is \$250 (\$125)	for s). ection	Mu E ally file mall en	d seque	each addition	iter nal 50	
4. OTHER FEE(S) Non-English Specific	cation, \$1	30 fcc (no small ent	tity	discount)						
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Date August 24, 2007 Name (Print/Type) Benjamin M. Halpern This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including exthering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 2 4 2007

Dkt. No. 67493/121

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/803,847

Confirmation No: 6418

Applicant

: Guangming Xiao

Filed

: March 18, 2004

TC/A.U.

: 1*7*56

Examiner

: Rosasco, Stephen D.

Docket No.

: 67493/121

Customer No.

: 1912

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vivian Campbell

Printed Name of Person Signing

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22323-1450

Attn: Examiner Stephen Rosasco

Sir:

Applicant attaches herewith Form PTO/SB/08A listing the references cited in a Search Report for a related European Application.

Attached is a Fee Transmittal Sheet authorizing the U.S. Patent and Trademark Office to charge our Deposit Account No. 01-1785 in amount of \$180.00 for the filing of this Information Disclosure Statement.

08/27/2007 HLE333

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Respectfully submitted,

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AMSTER, ROTHSTEIN & EBENSTEIN LLP

Attorneys for Applicants

90 Park Avenue New York, NY 10016

(212) 336-8000

Dated: New York, New York

August 24, 2007

Benjamin M. Halpern

Registration No.: 46,494

Page 1 of 1

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PTO/SB/08A (04-07)

Approved for use through 09/30/2007. OMB 0651-9031

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Under the Pagetwork Reduction Act of 1995, no persons are required to respond to a collection of information unloss if contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO Application Number 10/803,847 RECEIVED Filing Date March 18, 2004 INFORMATION DISCLOSURE First Named Inventor CENTRAL FAX CENTER Guangming Xiao STATEMENT BY APPLICANT Art Unit 6418 Rosasco, Stephen D. AUG 2 4 2007 (Use as many sheets as necessary) Examiner Name 67493/121 Attorney Docket Number

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Examiner C	Cite No.'	Document Number	Publication Date MM-0D-YYYY	F DOCUMENTS Name of Patentee or Applicant of Cited Document	Pagas, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
·		Number-Kind Code ^{2 (F Annum)} US- 6,261,725 B1	07-17-2001	San-De Tzu et al.			
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